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Patent

Attorney Docket No.: 42390.P8136

In re the Application of: Kenneth C. Cadien et al.

(inventor(s))

Application No.: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL MECHANICAL POLISHING

Mail Stop RCE (title)
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

SIR: Transmitted herewith is a Preliminary Amendment for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	
	Claims Remaining After Amd.	Highest No. Previously Paid For	Present Extra	
Total Claims	* 14	Minus	** 20	0
Indep. Claims	* 3	Minus	*** 4	0
First Presentation of Multiple Dependent Claim(s)				

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY		OTHER THAN A SMALL ENTITY	
Rate	Additional Fee	Rate	Additional Fee
X9	\$	X18	\$ 0
X42	\$	X84	\$ 0
+140	\$	+280	\$
Total Add. Fee	\$	Total Add. Fee	\$ 0

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on September 12, 2003
Date of Deposit

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters
Signature

9/12/2003
Date

____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).
____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.
____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.
____ A duplicate copy of this sheet is enclosed.

The Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

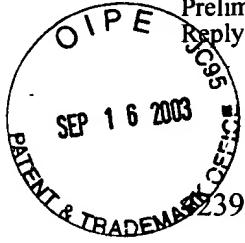
Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.
 Any extension or petition fees under 37 C.F.R. § 1.17.

Date: 9/12/ 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Kenneth C. Cadien et al.) Conf. No.: 9927
U.S. Serial No: 09/473,391) Examiner: Chen, Kin Chan
Filed: December 28, 1999) Art Unit: 1765
For: ABRASIVES FOR CHEMICAL)
MECHANICAL POLISHING)
Mail Stop RCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

In response to the Final Office Action mailed June 12, 2003 and the Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 filed concurrently herewith, Applicant respectfully requests the Examiner to enter this preliminary amendment and consider the remarks prior to examination of the above referenced case.

FIRST CLASS CERTIFICATE OF MAILING

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